

PRODUCTION OF HUPERZINE A BY FUNGAL ENDOPHYTES ASSOCIATED WITH HUPERZIACEAE PLANTS

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ABSTRACT

Background: Endophytic fungi are known as a producer of a myriad of bioactive natural products, including those originally produced by the host plants. Huperzine A (Hup A), a lycopodium alkaloid, which was reported as an active acetylcholinesterase inhibitor (AChEI) agent used for the treatment of Alzheimer's disease, was originally isolated from medicinal Chinese herbs *Huperzia serrata*. Moreover, various species of endophytic fungi associated with *H. serrata* and other species within Huperziaceae plants were found capable of producing Hup A, suggesting these microorganisms as promising sources of Hup A. **Objective:** This review aimed to summarize the evidence of Hup A produced by various endophytic fungi as part of efforts to assess alternative producer of Hup A. **Methods:** Scientific articles on endophytic fungi producing Hup A published from 2000 until 2022 were screened through scientific databases. **Results:** Thirty-two endophytic fungal strains belonging to fifteen fungal genera were documented as capable of producing Hup A. These fungal endophytes were isolated from Huperziaceae plants, *H. serrata*, *Phlegmariurus phlegmaria* and *Phlegmariurus taxifolius*. **Conclusion:** We summarize herein the capability of endophytic fungal strains associated with Huperziaceae plants to produce Hup A, an active AChEI agent, which could be considered as an alternative producer of Hup A on a larger scale.

Keywords: Acetylcholinesterase inhibitor (AChEI); Alzheimer's disease; Fungal endophytes; Huperziaceae; Huperzine A (Hup A).

INTRODUCTION

Fungal endophytes are known as microorganisms inhabiting the inner parts of the host plants and are capable of producing various pharmacologically active natural products.^[1] Endophytes were first reported in 1904^[2], however, these microorganisms drew attention years later after the finding of paclitaxel, an anticancer agent initially isolated as plant metabolite, produced by the endophytic fungus *Taxomyces andreanae*, which inhabiting *Taxus brevifolia*.^[3] *Taxus*

brevifolia is known as the initial source of paclitaxel. Since then, many studies have been carried out to explore the capability of endophytic fungi associated with medicinal plants to produce pharmaceutically valuable natural products, leading to the discovery of other anticancer camptothecin among others. This metabolite was produced by the endophytic fungus *Entrophospora infrequens*, isolated from stems of *Nothapodytes foetida*.^[4] This finding

revealed the prospect of utilizing fungal endophytes as alternative producers of bioactive metabolites initially derived from plants for various pharmacological effects, including compounds with acetylcholinesterase inhibitory activity.

Natural products active as acetylcholinesterase inhibitor (AChEI) are regarded as one of important therapeutic targets for the treatment of Alzheimer's disease (AD).^[5] World Health Organization (WHO) reported around 55 million people in the world live with dementia, and 60-70% of these dementia cases are dominated by AD.^[6] However, therapeutic options available for AD treatment are still limited, of which donepezil, galantamine, rivastigmine, and tacrine are the most common pharmaceutical products used for AD therapy so far.^[7] In addition to these four medicines, a natural product called Huperzine A (Hup A), has been used for AD therapy in China for years, however the production of Hup A evoked major challenge for further clinical application.

Hup A, an active AChEI agent was initially isolated from the Chinese herb *Huperzia serrata* and has been approved in China for the treatment of AD since the 1990s.^[8] The high demand for Hup A for use as an Alzheimer's drug indirectly pressures researchers to obtain Hup A in large quantities without risking the existence of *H. serrata* in nature. Efforts to preserve *H. serrata* by cultivation are not possible, because *H. serrata* is difficult to cultivate under natural conditions.^[9] Therefore, it is necessary to find other ways to increase Hup A production, such as by utilizing endophytic fungi isolated from Huperziaceae plants or specifically *H. serrata*. Given by the possibility that fungal endophytes could produce the same metabolites as those of afforded from the host plant, the scientific approaches by

using microorganisms *i.e.* endophytic fungi associated with *H. serrata* are considered as a promising strategy to produce Hup A without endangering *H. serrata* population in nature.

A previous review by Cao et al. 2021 reported nine strains of fungal endophytes producing Hup A particularly obtained from *H. serrata*, which indicated their benefit as promising Hup A producers.^[10] As production of Hup A was not only limited to fungal endophytes associated with *H. serrata*, in this review, we described endophytic fungal strains associated with Huperziaceae family which capable of producing Hup A. All in all, 16 scientific articles on endophytic fungi isolated from host plants belonging to Huperziaceae family that can produce Hup A were included in this review.

METHODS

Scientific articles on endophytic fungi producing Hup A published from 2000 until 2022 were screened through scientific databases (PubMed, ScienceDirect, BioMed Central, and Google Scholar). Keywords used for the literature search included acetylcholinesterase inhibitor, Alzheimer's disease, endophytic fungi, huperzine A, and Huperziaceae. Only scientific articles reporting Hup A production from Huperziaceae-derived endophytic fungi were included in this review.

RESULTS

The family Huperziaceae consists of two main genera, *i.e.* Huperzia and Phlegmariurus. One of the most popular species from Huperziaceae is *Huperzia serrata*.^[11] Hup A (Figure 1) is produced by *H. serrata* and other species within Huperziaceae family which are closely related to *H. serrata*.^[12] Hup A content in

wild *H. serrata* is relatively low. Moreover, *H. serrata* growth was very slow with a long-life cycle, which takes 15-20 years for this plant to mature.^[9]

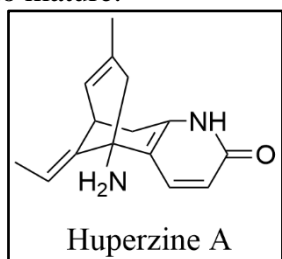


Figure 1: Chemical structure of huperzine A (Hup A)

In this review, thirty-two endophytic fungal strains from fifteen fungal genera isolated from host plants, *H. serrata*, *Phlegmariurus phlegmaria*, and *Phlegmariurus taxifolius* were reported capable of producing Hup A (Table 1). Among these genera, endophytic fungal strains belonging to *Colletotrichum*, *Fusarium* and *Penicillium* were frequently reported as producers of Hup A (Figure 1), as shown in Figure 2.

Table 1: Endophytic fungi belonging to the Huperziaceae family previously reported capable to produce Hup A

<i>Huperzia serrata</i> as host plant		
Genera	Strain	Reference
Acremonium	<i>A. implicatum</i> LF30	[13]
Alternaria	<i>A. brassicae</i> AGF041	[14]
Aspergillus	<i>A. flavus</i> LF40	[13]
Cladosporium	<i>C. cladosporioides</i> LF70	[15]
Colletotrichum	<i>C. gloeosporioides</i> ES026	[16]
	<i>C. gloeosporioides</i> Cg01	[17]
	<i>C. boninense</i> HS7-1	[18]
	<i>C. boninense</i> HS7-1	[19]
Fusarium	<i>Fusarium</i> sp. Rsp5.2	[20]

Table 1 (Cont.)

Genera	Strain	Reference
Fusarium	<i>F. verticillioides</i> NSH-5	[21]
	<i>F. oxysporum</i> NSG-1	[21]
	<i>F. oxysporum</i> NSG-1	[21]
Leptosphaeria	<i>L. microscopica</i> LF5	[13]
Mucor	<i>M. racemosus</i> NSH-D	[21]
	<i>M. fragilis</i> NSY-1	[21]
Mycoleptodiscus	<i>M. terrestris</i> RF83	[13]
Paecilomyces	<i>P. tenuis</i> YS-13	[22]
Penicillium	<i>Penicillium</i> sp. SF142	[13]
	<i>P. griseofulvum</i> LF146	[13]
Penicillium	<i>P. polonicum</i> hy4	[23]
	<i>Penicillium</i> sp. LDL4.4	[24]
Sharaia	<i>Sharaia</i> sp. Sif14	[25]
	<i>S. bambusicola</i> LF15	[13]
Trichoderma	<i>T. harzianum</i> L44	[26]
	<i>T. harzianum</i> NSW-V	[21]
<i>Phlegmariurus phlegmaria</i> as host plant		
Ceriporia	<i>C. lacerate</i> MY183	[27]
Colletotrichum	<i>C. gloeosporioides</i> MJ422	[27]
	<i>C. gloeosporioides</i> MJ484	[27]
	<i>C. boninense</i> MY298	[27]
	<i>C. boninense</i> MY252	[27]
	<i>C. guizhouensis</i> MJ216	[27]
Hypoxylon	<i>H. investiens</i> MY311	[27]
Trichoderma	<i>T. harzianum</i> MY237	[27]
<i>Phlegmariurus taxifolius</i> as host plant		
Fusarium	<i>Fusarium</i> sp. C17	[28]

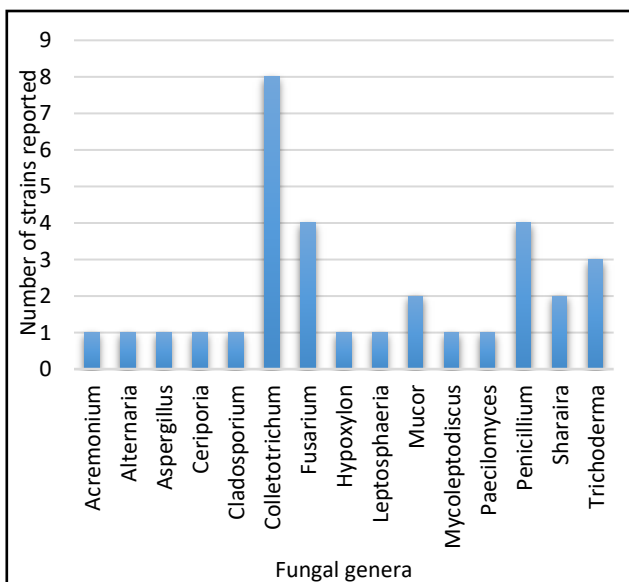


Figure 2. Endophytic fungal strains inhabiting the Huperziaceae family reported capable of producing Hup A

DISCUSSION

1. Acetylcholinesterase and AChE inhibitors

Alzheimer's disease (AD) is a progressive, degenerative brain disorder and the most common form of dementia in older adults. AD is associated with a loss of the cholinergic system, with reduced levels of acetylcholine in areas of the brain associated with learning, memory, behavior, and emotional responses.^[29] The presence of beta-amyloid (Aβ) plaques, neurofibrillary tangles, and degeneration or atrophy of basal forebrain cholinergic neurons are the main characteristics commonly found in AD. Reduction of synaptic acetylcholine (ACh) availability due to the loss of basal forebrain cholinergic cells could further lead to cognitive impairment in the case of AD.^[30]

The neurotransmitter acetylcholine (ACh) is located in sweat glands and the piloerector muscles of the sympathetic autonomic nervous system, specifically at the neuromuscular junction between motor

nerves and skeletal muscles in the peripheral nervous system. ACh functions as a neurotransmitter in all parasympathetic innervated organs. ACh is mainly founded in interneurons in the central nervous system, and several critical long-axon cholinergic pathways have also been identified. Of particular note are the cholinergic projections from the nucleus basalis of Meynert (in the basal forebrain) to the forebrain neocortex and associated limbic structures. The degeneration of this process contributes to AD pathologies.^[31] Acetylcholinesterase (AChE) is selectively able to catalyze the ester bond on acetylcholine through hydrolysis at the synaptic cleft to terminate its impulse transmission role.^[32] Moreover, in vertebrates, shortly after the release of presynaptic neuronal, AChE modulates cholinergic neurotransmission through the inactivation of acetylcholine.^[33]

AChE inhibitors can enhance cholinergic transmission as well as protect brain cells against free radical injury. AChEIs also interfere with aggregation and deposition processes of Aβ protein which are suspected as the second mechanism of AD.^[14] AChEIs act by inhibiting the cholinesterase enzyme to breakdown ACh, which eventually increases the level of ACh and prolongs this neurotransmitter action. The action of AChE inhibitors can be irreversible or reversible. Reversible AChE inhibitors, either competitive or non-competitive inhibition, are mostly therapeutically valuable, whereas toxic effects are frequently related to irreversible AChE inhibitors.^[31]

2. Endophytic Fungi Producing Huperzine A (Hup A), an active AChEI agent

Endophytic fungi are obtained from plant tissues through a series of surface

sterilization processes. The number of endophytic fungi is very abundant and can be found in various plant tissues. Endophytic fungi occupy the inner tissues of the host plants during a particular period or throughout their life stages. They form mutualism relationship with the host plants without causing any detrimental effects to their host.^[34] Endophytic fungi can also exist in non-pathogenic and pathogenic phases. Many non-pathogenic endophytes are dormant pathogens, which could be pathogenic under unfavorable conditions or after plant senescence. Therefore, given the nature of their hosts, endophytic fungi could give beneficial effects on particular host plants, whereas they could be found as pathogens to other plants.^[14]

As repeatedly reported in the literature, fungal endophytes can provide many fitness benefits to their host as they are biologically active,^[35] can enhance the growth of their hosts, and increase host resistance to disease-causing phytopathogens and environmental stress. Interestingly, many fungal endophytes can also produce bioactive metabolites as those found in the host plant. The horizontal gene transfer hypothesis has revealed the ability of endophytes to produce identical bioactive natural products as those afforded from the host plant^[14], as exemplified by the production of Hup A from various endophytic fungal strains isolated from the Huperziaceae family. In this case, the horizontal gene transfer is regarded as asexually genetic materials transfer between endophytic fungi and their host plants.^[36]

Interestingly, a UV-irradiated strain of an endophytic fungus derived from *Lycopodium serratum* Thunb. var. *longipetiolatum* Spring. (synonym of *H. serrata*) assigned as *Paraboeremia* sp. Lsl3KI076, was found to be able to biosynthesize Hup A as well, along with

other lycopodium-type of alkaloids.^[37] These findings indicate that endophytic fungi possess gene clusters encoded enzymes involved in the biosynthetic pathway of secondary metabolites that were initially reported as plant metabolites, as shown in the production of Hup A by several strains of endophytic fungi. It is well recognized that many gene clusters are “silent” under standard culture conditions, thus various approaches directed to trigger the activation of silent biosynthetic gene clusters can be applied, as exemplified by the production of Hup A by *Paraboeremia* sp. Lsl3KI076, a UV-irradiated strain of *Paraboeremia* sp. Lsl3.^[37] However, the questions on whether these metabolites are originally biosynthesized by the host plant or were biosynthesized as a result of a mutualistic relationship between the host plant and endophytic fungi inhabiting its internal tissues are yet to be answered.

The biological activity of Hup A related to its potential use in AD therapy has been extensively investigated in many *in vitro* and *in vivo* studies, including its mechanism of action as an AChEI. Hup A is a reversible AChEI. Compared to other AChEIs such as galantamine, rivastigmine, and tacrine, Hup A has better penetration through the blood-brain barrier with higher oral bioavailability and longer AChE inhibition.^[31] Hup A can increase the level and duration of action of ACh by preventing its breakdown.^[38] The *in vitro* test showed that Hup A inhibits AChE, with IC₅₀ values of 0.082, 0.093, 0.010, 181.39, and 1.995 μM for Hup A, tacrine, donepezil, rivastigmine, and galanthamine, respectively. This result showed that the AChE inhibitory activity of HupA is equivalent to or even better than the pharmaceutical products currently used in AD therapy.^[39] Considering the remarkable activity of Hup A as AChEI, strategy to optimize the capacity of endophytic fungal

stains capable of producing this valuable compound, especially those inhabiting the Huperziaceae plants as shown in Figure 2, will be promising for further investigation.

CONCLUSION

We described herein the capability of endophytic fungal strains associated with Huperziaceae plants to produce Hup A, an active AChEI agent, which could be considered as an alternative producer of Hup A to meet the industrial demand for this pharmaceutically valuable compound. Thirty-two strains of fungal endophytes belonging to fifteen fungal genera were documented as capable of producing Hup A. These fungal endophytes were isolated from Huperziaceae plants included *Huperzia serrata*, *Phlegmariurus phlegmaria* and *Phlegmariurus taxifolius*. *Colletotrichum*, *Fusarium* and *Penicillium* were among the genera of endophytic fungal strains frequently reported as Hup A producers.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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